

Intermediary Name _____

Portfolio Planning

Risk Questionnaire

To use this questionnaire, answer the 18 questions over the page and add up the scores to indicate a risk level using the table below.

(Please use a separate form for each person when planning on behalf of two people)

Total Score
(from answers)

Risk Level
(from table)

	Result	Lower	Upper
1	Very defensive investor	18	32
2	Defensive investor	33	40
3	Cautious investor	41	44
4	Cautious investor	45	48
5	Balanced investor	49	52
6	Balanced investor	53	56
7	Moderately aggressive investor	57	60
8	Moderately aggressive investor	61	64
9	Aggressive investor	65	76
10	Very aggressive investor	77	90

Completed by:

Client Signature _____

Date _____

Please complete this form in order to assist your nominated intermediary in determining your attitude to investment risk profile when utilising Distribution Technology's Dynamic Planner™.

Risk Profiler

		Strongly Agree	Agree	Neither Disagree nor Agree	Disagree	Strongly Disagree
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
1.	I do not like to keep up to date on financial matters.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
2.	I am comfortable with the idea of my investments falling and rising rapidly.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
3.	I would describe my experience of investment as very limited.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
4.	My knowledge of financial terms is very limited.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
5.	Once I make an investment I hardly ever review it.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
6.	I have sound financial experience to help me make an investment decision.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
7.	I prefer having a long term financial plan.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Risk Profiler

		Strongly Agree	Agree	Neither Disagree nor Agree	Disagree	Strongly Disagree
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
8.	The thought of losing my money on an investment makes me nervous.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
9.	I like the excitement of investing in volatile foreign markets to potentially gain higher returns.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
10.	I try to make as much money as quickly as possible.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
11.	Most of the time, I do not read the financial section in my newspaper.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
12.	I am used to taking financial risk.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
13.	Financial press is a major influence on my investment decisions.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
14.	I prefer savings accounts to stock-market related investments.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Risk Profiler

	Strongly Agree	Agree	Neither Disagree nor Agree	Disagree	Strongly Disagree
<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
15 I would not like to invest in long term investment as I wish to respond to changes in my budgetary requirements quickly.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
16 I am prepared to take high risk in order to gain high returns.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>Score</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>
17 I do not think of accumulating huge wealth through investments.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<i>Score</i>	<i>5</i>	<i>4</i>	<i>3</i>	<i>2</i>	<i>1</i>
18 Had I been unlucky with investments in the past, I would make an investment again.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total Score	<input type="text"/>				